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TO: Examiner Zachariah Lucas
Examining Group 1648

FROM: Glenn P. Ladwig
Patent Attorney
Registration No. 46,853

COMPANY: United States Patent and
Trademark Office

DATE: September 10, 2004

FAX NO.: 703-872-9306

NO. OF PAGES
(INCLUDING COVER SHEET): 8

SUBJECT/MESSAGE:

Re: U.S. Patent Application Docket No. USF-T156X
GENE EXPRESSION VACCINE
Serial No.: **10/073,0655**; Date filed: February 12, 2002
Applicants: Mohapatra, Kumar, Huang, Leong

Submission to PTO:

1. Amendment Transmittal Letter
2. Supplemental Amendment under 37 C.F.R. §1.111

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AMENDMENT TRANSMITTAL LETTER			ATTORNEY'S DOCKET NO. USF-T156X
SERIAL NO. 10/073,065	FILING DATE February 12, 2002	EXAMINER Zachariah Lucas	GROUP ART UNIT 1648
INVENTION Gene Expression Vaccine			

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 CFR 1.27 has been established by a verified statement previously submitted.
- ☒ Applicant claims small entity status.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- ☐ No additional fee is required.
- ☒ The fee has been calculated as shown below:

(1)		(2)		(3)	SMALL ENTITY		<u>OR</u>	OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE		RATE	ADDIT. FEE
TOTAL.	* 21	MINUS	** 20	1	\$ 9	\$9.00		\$18	\$0.00
INDEP.	* 4	MINUS	*** 6	0	\$43	\$ 0.00		\$86	\$0.00
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				0	\$145	\$ 0.00		\$290	\$0.00
					Total addit. fee	\$9.00	<u>OR</u>	Total addit. fee	\$0.00

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

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- ☒ Please charge my Deposit Account No. 19-0065 in the amount of \$ 9.00.
- ☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-0065. Two additional copies of this paper are enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

September 10, 2004
(date)

Glen P. Ludwig
(signature)

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I hereby certify that this correspondence is being
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Trademark Office on September 10, 2004.

Glenn P. Ladwig
Glenn P. Ladwig, Patent Attorney

SUPPLEMENTAL AMENDMENT UNDER
37 C.F.R. § 1.111

Examining Group 1648

Patent Application

Docket No. USF-T156X

Serial No. 10/073,065

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Zachariah Lucas
Art Unit : 1648
Applicants : Shyam Mohapatra, Mukesh Kumar, Shau-Ku Huang, Kam W. Leong
Serial No. : 10/073,065
Filed : February 12, 2002
Confirm. No. : 2390
For : Gene Expression Vaccine

MS AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

SUPPLEMENTAL AMENDMENT UNDER 37 C.F.R. §1.111

An Amendment under 37 C.F.R. §1.111 was transmitted to the Patent Office on July 6, 2004.

It is respectfully requested that the subject application be amended as follows:

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